

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:	)	Group Art Unit: Not yet assigned
Tricomi et al.	)	Examiner: Not yet assigned
	)	
Title: CARRIER DEVICE FOR MONOLITHIC	)	
INTEGRATED CIRCUIT	)	
	)	
Serial No.: Not yet assigned	)	
	)	
Filed: Herewith	)	Our Docket No. 3000-0011

Springfield, Massachusetts

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

Applicant(s) submits herewith Form PTO-1449 identifying patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Application Serial No.: Not yet assigned  
Docket No. 3000-0011

Under 37 CFR 1.97 (b)

☒ This Information Disclosure Statement is being filed within three months of the filing date of the application, or the date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits, whichever event occurs last.

Under 37 CFR 1.97 (c)

☐ This Information Disclosure Statement is being filed *after* three months of the filing date of this national application, or the date of entry into the national stage as set forth in §1.491 in an international application, or after the mailing date of the first Office Action on the merits, whichever event occurred last, but *before* the mailing date of either a final action under §1.113 or a notice of allowance under §1.311, whichever occurs first.

☐ A certification as specified in 37 CFR 1.97(e) is set forth below.  
Fee as set forth in 37 CFR 1.17(p) (\$180.00).

Under 37 CFR 1.97(d)

☐ This Information Disclosure Statement is being filed *after* a final action under §1.113 or a notice of allowance under §1.311, whichever occurs first, but before, or simultaneously with, the payment of the issue fee. Applicant hereby petitions for the consideration of this Information Disclosure Statement, 37 CFR 1.97(d)(ii). A certification as specified in 37 CFR 1.97(e) is set forth below.

☐ Fee as set forth in 37 CFR 1.17(p) (\$180.00)

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**CERTIFICATION (37 CFR 1.97(e))**

☐ Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement. A copy of the relevant search report is enclosed herewith.

☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.

Application Serial No.: Not yet assigned  
Docket No. 3000-0011

Applicants respectfully request that any deficiencies in the fees be charged to Deposit  
Order Account No. 50-3381.

Respectfully submitted,

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ATTORNEY DOCKET NO. 3000-0011

SERIAL NO. N/A

10/531141

APPLICANT: Tricomi et al.

GROUP: N/A

**INFORMATION DISCLOSURE  
 STATEMENT BY APPLICANT**

FILING DATE: Herewith

EXAMINER: N/A

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A	5,479,050	12/26/1995	Pritchard et al.	257	670	10/11/1994
	B	5,859,387	1/12/1999	Gagnon	174	52.2	11/29/1996
	C	5,789,806	8/04/1998	Chua et al.	257	676	3/18/1997
	D						
	E						
	F						

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	G	WO/01/09953	2/08/2001	PCT	23	495	YES
	H	JP 07078926	3/20/1995	Japan	23	50	YES-abstract
	I	JP 63202948	8/22/1988	Japan	23	50	YES-abstract
	J	JP 06021132	1/28/1994	Japan	21	60	YES-abstract
	K	JP 59104148	6/15/1984	Japan	23	48	YES-abstract
	L	JP 04107961	4/09/1992	Japan	23	50	YES-abstract
	M	EP 0546435	12/02/1992	Europe	23	495	YES
	N	JP 63308359	12/15/1988	Japan	23	50	YES-abstract
	O	JP 63169753	7/13/1988	Japan	23	50	YES-abstract
	P	JP 05235233	9/10/1993	Japan	23	50	YES-abstract

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL		
	Q	
	R	
	S	

EXAMINER

DATE CONSIDERED

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.